



Receipt

A-70056/ENB

CERTIFICATE OF MAIL (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner for Patents, Washington, D.C. 20231 on July 6, 2001.

Laura Lee Mosier

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
JERMAN et al.

Serial No. 09/728,212  
Filed: November 29, 2000

For: Tunable Laser with Microactuator

Examiner: To be assigned

Art Unit: 2881

Date: July 6, 2001

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.

Error in Filing Date:

The filing date information is noted incorrectly. This information should read as follows:

--November 29, 2000--

A red-lined copy of the Filing Receipt is enclosed for clarification as well as a copy of the returned postcard as filed with the application indicating the filing date as 11/29/00.

Applicant respectfully requests the Filing Receipt be corrected as shown on the attachment.

The correction is not due to any error by applicant therefore no fee is due.

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

A handwritten signature in black ink, appearing to read 'Edward N. Bachand', is written over the firm name.

Edward N. Bachand  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/728,212	03/12/2001	2881	546	A- 70056/ENB	18	34	3

CHANGE TO  
11/29/00

CONFIRMATION NO. 4420

CORRECTED FILING RECEIPT



\*OC000000006203583\*

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 06/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

John H. Jerman, Palo Alto, CA;  
John D. Grade, Mountain View, CA;  
Jill D. Berger, Los Gatos, CA;  
John F. Heanue, San Jose, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/167,937 11/29/1999  
AND CLAIMS BENEFIT OF 60/167,951 11/29/1999  
WHICH CLAIMS BENEFIT OF 60/174,562 01/05/2000  
WHICH CLAIMS BENEFIT OF 09/491,429 01/26/2000  
WHICH CLAIMS BENEFIT OF 60/154,899 09/20/1999  
WHICH CLAIMS BENEFIT OF 60/227,933 08/25/2000  
AND CLAIMS BENEFIT OF 60/234,042 09/20/2000

**Foreign Applications**

**Projected Publication Date:** To Be Determined - pending completion of Corrected Papers

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Tunable laser with microactuator

**Preliminary Class**

372

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**Data entry by : SMALLWOOD, EAON**

**Team : 1600**

**Date: 06/20/2001**





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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

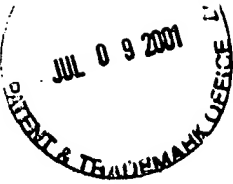
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

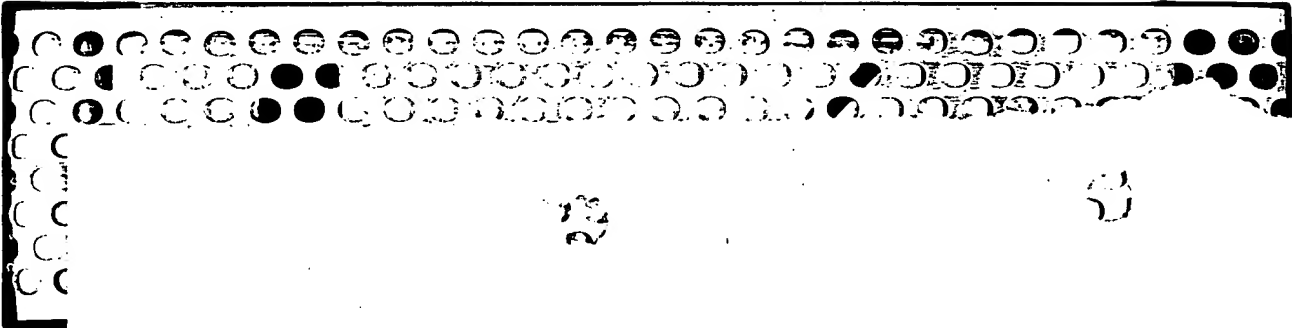
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



COPY



PATENT OFFICE ACKNOWLEDGEMENT  
OF FILING PATENT APPLICATION

File No. : A-70056/ENB TOLON, INC.  
Applicant(s): JERMAN, GRADE, BERGER and HEANUE  
Title: TUNABLE LASER WITH MICROACTUATOR  
No. Pages Spec.: 65  
No. Claims: 34  
No. Sheets Dwgs.: 18  
Oath Declaration  
Amount Filing Fee \$ 481.00  
Check No. 6582  
Assignment:

jc690 U.S. PTO  
09/728212  
11/29/00

Mailed NOVEMBER 29, 2000

1022069

